

# 9R Malpractice Policy (Exams) 2025-2026

## This policy was approved as follows:

<b>Approver:</b>	<b>L&amp;S Committee</b>	<b>Date:</b>	<b>February 2025</b>
<b>Owner:</b>	Carole Dodd	<b>Version:</b>	1
<b>LAC adoption date:</b>		<b>Review frequency:</b>	1 year
<b>Status:</b>	<b>FINAL</b>	<b>Next review date:</b>	February 2026

This policy applies to all School Academy staff, pupils and parents.

## Document History

Version	Version Date	Author	Summary of Changes
V1	Feb 2025	Carole Dodd	Policy reformatted using new template and Trust name change updated.  Various changes (Highlighted in yellow)

## Introduction

This policy is reviewed and updated annually to ensure that any malpractice at Thomas Alleyne's High School is managed in accordance with current requirements and regulations.

Reference in the policy to [GR](#) and [SMPP](#) relate to relevant sections of the current JCQ publications General Regulations for Approved Centres (GR) and Suspected Malpractice: Policies and Procedures (SMPP)

### What is malpractice and maladministration?

(SMPP 1)

'Malpractice' and 'maladministration' are related concepts, the common theme of which is that they involve a failure to follow the rules of an examination or assessment.

This policy and procedure uses the word 'malpractice' to cover both 'malpractice' and 'maladministration' and it means any act, default or practice which is:

- A breach of the Regulations
- A breach of awarding body requirements regarding how a qualification should be delivered
- A failure to follow established procedures in relation to a qualification

which:

- Gives rise to prejudice to candidates
- Compromises public confidence in qualifications
- Compromises, attempts to compromise or may compromise the process of assessment, the integrity of any qualification or the validity of a result or certificate
- Damages the authority, reputation or credibility of any awarding body or centre or any officer, employee or agent of any awarding body or centre

For the purposes of this document, suspected malpractice means all alleged or suspected incidents of malpractice (SMPP 2)

## Purpose of the policy

The purpose of this policy is to confirm how Thomas Alleyne's High School manages malpractice under normal delivery arrangements in accordance with the regulations.

## General principles

In accordance with the regulations Thomas Alleyne's High School will:

- Take all reasonable steps to prevent the occurrence of any malpractice (which includes maladministration) before, during and after examinations have taken place (GR 5.11)
- Inform the awarding body immediately of any alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, by completing the appropriate documentation (GR 5.11)
- As required by an awarding body, gather evidence of any instances of alleged or suspected malpractice (which includes maladministration) in accordance with the JCQ publication Suspected malpractice - Policies and procedures and provide such information and advice as the awarding body may reasonably require (GR 5.11)

## Candidate malpractice

- 'Candidate malpractice' means malpractice by a candidate in connection with any examination or assessment, including the preparation and authentication of any controlled assessments, coursework or non-examination assessments, the presentation of any practical work, the compilation of portfolios of assessment evidence and the writing of any examination paper (SMPP 2)

## Centre staff malpractice

- 'Centre staff malpractice' means malpractice committed by:
  - a member of staff, contractor (whether employed under a contract of employment or a contract for services) or a volunteer at a centre; or
  - an individual appointed in another capacity by a centre such as an invigilator, a Communication Professional, a Language Modifier, a practical assistant, a prompter, a reader or a scribe (SMPP 2)

## Preventing malpractice

Thomas Alleyne's High School has in place:

- robust processes to prevent and identify malpractice, as outlined in section 3.3 of the JCQ publication Suspected Malpractice: Policies and Procedures (SMPP 4.3)

## Use of AI in assessments

AI use refers to the use of AI tools to obtain content for assessments which are part of a qualification. Students must produce work from their own independent learning and thinking.

### AI Misuse

#### AI misuse constitutes malpractice.

AI misuse is where a student has used AI tools but has not acknowledged this use and submitted work for assessment when it is not their own. Examples include:

- Copying or paraphrasing sections or whole responses of AI generated content
- Failing to acknowledge and reference correctly the use of AI when used as a source of information

#### At Thomas Alleyne's High School:

- Staff are issued the JCQ booklet – AI Use in Assessments: Protecting the Integrity of Qualifications
- Staff will be aware of indicators leading to the potential use of AI
- Staff will be aware of their responsibility to challenge students and report suspected malpractice.
- Staff will be aware that if suspicions are proven and the candidate has not yet signed the declaration of authentication, that steps may be taken and agreed with the candidate to resolve the matter.
- Staff will be aware that if the candidate has signed the declaration of authentication, that this must then be reported to the Head of Centre and well as the awarding body as suspected malpractice.
- Staff will be aware that reported cases of malpractice will be investigated and that the awarding body will liaise with the Head of Centre to obtain evidence.

## Identification and reporting of malpractice

- The head of centre will notify the appropriate awarding body immediately of all alleged, suspected or actual incidents of malpractice, using the appropriate forms, and will conduct any investigation and gathering of information in accordance with the requirements of the JCQ publication Suspected Malpractice: Policies and Procedures (SMPP4.1.3)
- Form JCQ/M1 will be used to notify an awarding body of an incident of candidate malpractice. Form JCQ/M2 will be used to notify an awarding body of an incident of suspected staff malpractice/maladministration (SMPP 4.4, 4.6)
- Malpractice by a candidate discovered in a controlled assessment, coursework or non-examination assessment component prior to the candidate signing the declaration of authentication need not be reported to the awarding body but will be dealt with in accordance with the centre's internal procedures. The only exception to this is where the awarding body's confidential assessment material has potentially been breached. The breach will be reported to the awarding body immediately (SMPP 4.5)

- If, in the view of the investigator, there is sufficient evidence to implicate an individual in malpractice, that individual (a candidate or a member of staff) will be informed of the rights of accused individuals (SMPP 5.32)
- Once the information gathering has concluded, the head of centre (or other appointed information-gatherer) will submit a written report summarising the case to the relevant awarding body, accompanied by the information obtained during the course of their enquiries (SMPP 5.34)
- Form JCQ/M1 will be used when reporting candidate cases; for centre staff, form JCQ/M3 will be used (SMPP 5.36)
- The awarding body will decide on the basis of the report, and any supporting documentation, whether there is evidence of malpractice and if any further investigation is required. The head of centre will be informed accordingly (SMPP 5.39)

### **Communicating malpractice decisions**

- Once a decision has been made, it will be communicated in writing to the head of centre as soon as possible. The head of centre will communicate the decision to the individuals concerned and pass on details of any sanctions and action in cases where this is indicated. The head of centre will also inform the individuals if they have the right to appeal (SMPP 11.1)
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### **Appeals against decisions made in cases of malpractice**

Thomas Alleyne's High School will:

- Provide the individual with information on the process for submitting an appeal, where relevant
- Refer to further information and follow the process provided in the JCQ publication A guide to the awarding bodies' appeals processes